



CALL FOR APPLICATION FOR ADDITIONAL PHD SCHOLARSHIPS ON SPECIFIC TOPICS SUBJECT TO THE PON PROJECT “RICERCA E INNOVAZIONE” 2014-2020 – ACTION IV.4 “DOTTORATI E CONTRATTI DI RICERCA SU TEMATICHE DELL'INNOVAZIONE” AND ACTION IV.5 “DOTTORATI SU TEMATICHE GREEN”, FOR THE ACADEMIC YEAR 2021-2022 – 37TH CYCLE

RECTOR

HAVING REGARD TO the law no° 240 of December 30, 2010 containing regulations about the organization of the University, staff and recruiting, as well as delegation to the Government to encourage the quality and efficiency of the university system; and in particular, Article 19 about the establishment of PhDs by universities subject to accreditation by the Ministry of University and Research (hereinafter, "MUR" or "Ministry") in agreement with the Italian National Agency for the Evaluation of the University and Research System (hereinafter, "ANVUR");

HAVING REGARD TO Ministry Decree of February 8, 2013, no° 45 containing regulations for the procedures to substantiate areas and programmes of the PhDs as well as the criteria for the establishment of PhDs by accredited authorities;

HAVING REGARD TO Ministry Decree no° 1061/2021 which gave access to the funds for the project PON “ricerca e innovazione” 2014-2020 – action IV.4 “dottorati e contratti di ricerca su tematiche dell'innovazione” and action IV.5 “dottorati su tematiche green”, in favour of subjects with active and accredited PhDs for the 37th cycle, as well as national PhDs;

HAVING REGARD TO the current Regulation for PhDs issued by Rector's Decree 1123/2019 of April 3, 2019;

HAVING REGARD TO the report of the PhD Programme Committee of April 28, 2021;

HAVING REGARD TO the University Quality Assurance Committee opinion of May 11, 2021;

HAVING REGARD TO the University Evaluation Committee opinion of May 17, 2021;

HAVING REGARD TO the Academic Senate resolution no° 132/2021 of May 18, 2021;

SUBJECT TO possible amendments, updates or integrations to the call for application that will be published on <https://www.uniroma1.it/en/pagina/phd-programmes>

DECREES

ART. 1 – CALL FOR APPLICATIONS

1. Sapienza University of Rome announces for the academic year 2021-2022 (PhD **37TH CYCLE**), the competition based on academic qualification and admission test for PhD positions with scholarship with restriction topics funded by the Programma Operativo



Nazionale (PON) project* “ricerca e innovazione” 2014-2020 – action iv.4 “dottorati e contratti di ricerca su tematiche dell’innovazione” and action iv.5 “dottorati su tematiche green”.

2. These scholarships are additional to those already provided for cycle XXXVII, and put on call for application with D.R. n. 1655 of June 21, 2021 and are funded until December 31, 2023. For the period after December 31, 2023, the financial resources relating to the stock exchanges additional fees in question will be financed by Sapienza University of Rome on its own resources, or on resources deriving from agreements with public or private subjects, national or foreign, in possession of requisites of high cultural and scientific qualification, structures and suitable equipment.
3. All PhDs have three years duration.
4. The PhD positions to which the call applies foresee:
 - a) a mandatory research **period** spent in a company between six (6) and twelve (12) months;
 - b) an optional research **period** spent abroad between six (6) and twelve (12) months. Such period has to be conducted within the first two years of the PhD (by December 31, 2023).
 - c) **Attachment A**, considered part of the call for application, is a list of the PhDs the call concerns. It reports the number of positions with scholarship available, the selected research topics and details on the admission tests. **For each PhD it is also reported the website where candidates will find: the admission procedures and evaluation criteria, the host companies and the duration of the commitment for the research period abroad, the host companies and the duration of the optional research period abroad.**

*Please note that PON is an Italian acronym for the National Actionable Programme supplied by the Ministry of Education. The PON concerning this competition is on research and innovation, providing PhDs for the topic of innovation (action IV. 4) and PhDs for the topic of green sustainability (action IV. 5).

ART. 2 – ADMISSION REQUIREMENTS

1. Applications are accepted from candidates who completed their Master’s Degree (Italian old order ante Ministry Decree DM 509/99, Ministry Decree 509/99 and Ministry Decree 270/2004), or second level academic degree provided by institutions part of AFAM (Italian acronym for Advanced Training in Music and Arts) or an equivalent, eligible, foreign degree, by **October 31, 2021**. Admissions are accepted with no limitations of age and citizenship. The eligibility of the foreign degree is verified by a



Selection Committee in regard to the current regulations in Italy and the country where the degree was issued, and in regard to the international agreements for degrees equivalency to pursue education abroad.

2. Candidates who will obtain their degree between the date they apply to the call and **October 31, 2021** will be admitted provisionally, until graduation.
3. Candidates winners of the PhD without scholarship enrolled in previous academic years can be admitted to the admission tests even for the same PhD they are already enrolled in, unless presenting formal withdrawal to the PhD Sector before the enrollment and notifying such withdrawal to the Didactic Committee before the start of the activities.
4. Candidates who applied to the call for admission for the PhD 2021/2022, 37° cycle (Rector Decree 1655/2021) can apply to this call. If successful candidates in both rankings they will select the PhD scholarship of their choice.
5. Candidates who already completed a PhD without scholarship can apply to the call as long as the main subjects and scientific disciplinary fields of the chosen PhD are different than these of the previous PhD.
6. Candidates who already benefited from a scholarship for a PhD or equivalent, even partially, at Sapienza University or another university in Italy cannot benefit from another PhD scholarship.
7. Applications are only allowed for the PhD with a scholarship, withdrawals from scholarships by holders of research fellowships (from January 1, 2021) and by public employers will not be allowed.
8. The scholarship will be provided from January 1, 2022, therefore the event of non-existence of incompatibility must persist from that date even in the event of subsequent scrolling of the ranking list.

ART. 3 – APPLICATIONS

Candidates can submit their applications by **2 p.m. (Italian time) of October 27, 2021**. **Any further application will not be accepted after that time.**

To submit the application, candidates must login to <https://www.studenti.uniroma1.it/phoenix/#/login>, go to the PhDs page, fill the form, upload the required documents (including the declaration of acceptance – see art.5), pay the participation fee through the PagoPa online system. The payments received later than **23,59 (Italian time) of October 27, 2021** will not be considered valid. The application is completed when the sections Documents and Payment show the icon ✓ and the notice “fee paid”.



During the application process, candidates can express a maximum of three PhD preferences– in order of priority-. However, the candidate preferences are not binding for the awarding of the scholarships, which are subject to the candidate's eligibility.

Complaint related to system malfunctioning occurred in close proximity to the deadlines will not be accepted. Therefore, candidates should complete the application with sufficient advance to allow the offices to provide support within the deadlines.

Further instructions are available on <https://www.uniroma1.it/en/pagina/phd-application-form>.

Submission receipt and summary of the application must be kept by the candidate and must not be delivered to the PhD Office. Applications submitted differently than the guidelines given will not be accepted.

Please note: The University will check the truthfulness of the self-statements presented by the candidates. In case of false declarations, the candidates will be excluded from the competition or, if already in the list of successful candidates, and enrolled in the PhD, they will be declared lapsed, without prejudice to the criminal prosecution as for art. 76 of the law n. 445/2000.

ART. 4 – APPLICATIONS FOR CANDIDATES WITH FOREIGN DEGREE

Candidates with a foreign degree must apply following the guidelines in art. 3 and submit the further following documents:

- **Candidates with an EU degree:** pursuant to art 46 and 47 of Presidential Decree 445/2000 and subsequent modifications and supplements, must submit a **self-certification of the degree**, including the exams with marks in Italian or English.
- **Candidates with a non-EU degree:** must submit the degree including the legalized transcript with marks in Italian or English.

Applications missing the required documents will not be considered valid.

ART. 5 – CANDIDATE COMMITMENT AND DECLARATION OF ACCEPTANCE

When submitting the application, candidates must sign the scholarship acceptance form, formally declaring:

- a) To commit to the mandatory research in a company and study period abroad (minimum 6 to maximum 12 months);
- b) To commit to the optional research in a company and study period abroad (minimum 6 to maximum 12 months) conducted by December 31, 2023;
- c) To be aware that the failure to complete the minimum period spent in a company will result in the revocation of the scholarship (art. 8);
- d) To be aware that the modification of the project objectives and the expected results (if not previously authorized by the MUR) will lead to the revocation of the scholarship and the total refund of any amounts already paid;



- e) To be aware that the negative opinion of the Didactic Committee and the subsequent non admission to the following year of PhD will lead to the revocation of the amount already paid for the current year and the failure to complete the PhD will lead to the revocation of the amount paid for the last academic year;
- f) To commit for the whole duration of the additional scholarship, not to benefit from other scholarships for any reasons, exception made for those foreseen by the current national regulation.

ART. 6 – REPORT OF THE PHD ACTIVITIES

1. Students winners of the PhD must present a report on the activities conducted every two months, on the platform <https://dottorati.miur.it> filling the form provided. Each PhD student will have to write a report indicating the time commitment (divided into months in the company, on site, abroad, if applicable) and a summary of the main activities. It will be the responsibility of the Coordinator of the PhD programme to verify and validate the report, through the same platform.
2. Students must also prepare an annual report on the activity conducted, drafted according to the forms provided by MUR. The coordinator of the PhD will transmit the reports on the platform online, on an annual basis except for the last year of PhD, together with the report drafted by the Didactic Committee containing the evaluation of the activity conducted by the student and the possible access to the following year.
3. At the end of the PhD and within 30 days from the dissertation, students must provide an annual report on the activity conducted, drafted according to the forms provided by MUR, which will be sent to the PhD coordinator, together with the report drafted by the Didactic Committee containing the evaluation of the activity conducted by the student during the three years of PhD, a copy of the dissertation complete with the forms provided by MUR and the report of the dissertation Committee.

ART. 7 – CONDITIONS FOR THE EFFECTIVENESS OF THE CALL FOR APPLICATION

1. Enrollment in the PhD program and the simultaneous assignment of the scholarship is subject to the outcome of the verification process conducted by ANVUR pursuant to art. 5 of Ministerial Decree 1061, relating to the coherence and compliance of the research project submitted with the thematic areas subject to the topics of Innovation and Green Sustainability. In the event of a negative outcome, the scholarship will not be funded, and enrollment will not be allowed;
2. The scholarship will not be funded, and enrollment will not be allowed also in the event the hosting company will not confirm by November 30, 2021 its availability in hosting students for their mandatory period abroad of at least 6 months.



ART. 8 – WITHDRAWAL AFTER THE START OF THE PHD

1. In the event of: failure to obtain the degree, negative evaluation for the purpose of renewal of the scholarship, withdrawal of the student, there will be a partial withdrawal of the loan with the obligation by the PhD student to repay the amounts already received regarding to the academic year evaluated negatively or to the academic year in progress at the time of the waiver.
2. In the events of:
 - a) realization of a different PhD project compared to the project winner of the scholarship, not previously requested and authorized by MUR;
 - b) failure to complete the mandatory, minimum research period in a company and abroad;
 - c) negative outcome the verification process conducted by ANVUR pursuant to art. 5 of Ministerial Decree 1061, due to lack of coherence and compliance of the research project submitted with the thematic areas subject to the topics of Innovation and Green Sustainability;

The PhD scholarship will be fully withdrawn, with possible refund of the amounts already paid.

ART. 9 – SERVICES FOR CANDIDATES WITH DISABILITIES AND SPECIFIC LEARNING DIFFICULTIES

1. Candidates with recognition of disability pursuant to art. 3, par. 1 and 3 of the law of February 5, 1992, n. 104 or with a certificate of disability who require support or additional time during the test, must make a request contacting the Office for students with Disabilities and Specific Learning Difficulties at sportellodisabili@uniroma1.it, and must completed the designated procedure on Infostud System (further instructions on <https://www.uniroma1.it/en/pagina/phd-application-form>).

According to the certification provided, candidates will have access to additional time for the admission test; the support of a tutor for writing and/or reading, a sign language interpreter, a calculator, a video magnifier, a suitable table.

2. Candidates with specific learning difficulties pursuant to law no° 170/2010 and Ministry Decree no° 5669/2011 who require specific tools and aids during the admission test must make a request contacting the Office for students with Disabilities and Specific Learning Difficulties at counselingdsa@uniroma1.it, and must completed the designated procedure on Infostud System (further instructions on <https://www.uniroma1.it/en/pagina/phd-application-form>).

According to the certification provided, candidates will have access to additional time (30% more than the foreseen duration of the test) and a calculator.



3. Particular situations will be examined considering the certifications provided. The request must be received within the application deadline and must be accompanied by suitable certification provided by the Italian National Health Service (SSN in Italian) or specialists and structured accredited by SSN.

The certificate of learning difficulties must refer to the nosographic codes and the student specific learning difficulty (reading and/or writing and/or calculation).

The diagnosis must be updated after 3 years if the student is a minor.

ART. 10 – ADMISSION TEST

PLEASE NOTE

To be admitted to the test candidates must show a valid ID and – if the test is written – shall use only a black pen. Candidates cannot put any sign on the test that might make it recognizable (different pen colour, pencil unless specifically requested by the test, signature, correction fluid, etc).

1. The admission test to the PhDs is aimed at an appropriate assessment of candidates as well as the conformity between the candidate's profile and the topics of research.
Details about the admission test are in the webpage of each PhD (see attachment A)
2. Candidates must take a foreign language test.
3. In the event the admission test is in videoconference, candidates must be identified through a valid ID, in agreement with the current regulations, under penalty of exclusion.

The admission tests of candidates in videoconference are conducted as follows:

- a) oral interview through the University videoconferencing platforms, allowing simultaneous connection between the members of the Selection Committee and each candidate, in compliance with the regulation on the protection of personal data to protect confidentiality. This must be acknowledged in the minutes.
- b) it is forbidden to record the interview under any circumstance.
- c) the interview is public, and all candidates must have the link to access the event. Eventual third parties willing to assist the interview are allowed to participate.
- d) at the begin of the session, the Coordinator or the Secretary of the Committee identify the candidate through their valid ID. This identification must be acknowledged in the minutes.
- e) after every interview, to proceed with the evaluation, the Coordinator must interrupt the connection with everyone who is not a member of the Selection Committee.



4. The dates for the admission tests, including time and place of the exam, are indicated in the call for application. Candidates will not receive further notice.

In case of legitimate impediment, it is possible to request to attend the oral exam via videoconference. The request can be accepted by the Committee and must be presented to the coordinator of the PhD course with adequate notice with respect to the established date of the exam.

ART. 11 –SELECTION COMMITTEE AND RANKINGS

1. The PhD Selection Committees are appointed in application of the Regulation for the PhD programmes published on <https://www.uniroma1.it/en/documento/research-doctorate-regulations>.
2. In the case of multiple scholarships of the same PhDs will be appointed one single Committee for the same competition.
3. Each Selection Committee will define during preliminary meetings, the methods and applications of the evaluation criteria, that will be reported in the minutes.
4. After completing the competition admission tests, the Selection Committee lists the ranking of successful candidates, drafted by the sum of each mark obtained by the candidates in the admission tests. In the event of a tie, the priority goes to candidate with the less favourable economic status, determined pursuant Ministry Decree no° 159, art. 8, paragraph 4 of December 5, 2013.
5. The rankings will report the sum of all the marks obtained by the candidates, and the indication of the eligibility of each candidate for one or more topic. In case of scrolling of the ranking list the specific scholarship on a specific topic will be assigned to the first candidate in a suitable position for said topic.
6. If in a suitable position in more rankings, candidates must choose one PhD.
7. Eventual scholarships on a specific topic that will not be awarded by the Selection Committee will result in a reduction of the number of scholarships foreseen in the call for application.

ART. 12 – ENROLLMENT TO THE PHDS, ADMISSION AND ATTENDANCE FEE

1. After the verification of the validity of the competition acts, all rankings will be published exclusively on <https://www.uniroma1.it/it/pagina/elenco-dei-corsi-di-dottorato>. Simultaneously, successful candidates will receive an email on the email address provided at the time of submission of the application.



The communication will contain the procedures and deadline to complete the enrollment process, after which, candidates who did not comply with the requirements will be considered renunciative.

2. All students must pay the 16 euros virtual stamp, which will not be returned under any circumstance.
3. To complete the enrollment procedures, students holding a foreign degree will have to present:
 - a) certification of the degree, including the exams with marks translated and legalized in Italian or English (unless not already presented during the application process).
 - b) Diploma Supplement or Declaration of Value of the Master's degree provided by the Italian Consular Authority in the country where the degree was obtained, or by another authorized Body.

ART. 13 – SCHOLARSHIPS

1. The gross annual amount benefiting from the scholarship, referring to the 2021/2022 academic year, is € 15,343.28 gross of social security costs to be paid by the recipient. The scholarship is subject to the absence of incompatibilities foreseen by current regulations.
2. The duration of the scholarship is equal to the duration of the whole PhD.
3. The scholarship is provided on a monthly basis, subject to the report on the activities conducted, every two months, subject to the submission of the documents foreseen by art.6.
4. The amount of the scholarship may increase up to 50% of its expected amount in the event of authorized periods abroad, maximum duration of 12 months, to be conducted by December 31, 2023.
5. Starting from the second year for each PhD student is ensured, within the financial resources existing in the financial statement, a budget for the research activity in Italy and abroad adjusted to the type of programme and in any case for an amount of no less than 10% of the scholarship amount.
6. The gross annual personal taxable income limit to be eligible for the PhD scholarship is € 20,000 excluding the scholarship – with Rectoral Decree n. 2607 of 08/10/2021 prot. no° 081597.

ART. 14 – INCOMPATIBILITY AND SUSPENSION

1. The cases of total or partial incompatibility for the access to the scholarship are established by current regulations. In particular, scholarships cannot be combined with research grants or other scholarships awarded for any reason, except with those



- provided by national or foreign institutions for the integration of PhD training activities or research with periods abroad for students with funding from the MIUR Youth Fund.
2. In case of incompatibility, students must return the scholarship accruals relating to the period for which they were unduly received. The refund refers to the academic year or part thereof.
 3. No periods of suspension will be authorized except in cases of maternity and for documented health reasons.

ART. 15 – RESPONSIBLE FOR THE PROCEDURE AND INFORMATION PRIVACY

Pursuant to art. 5 of law no° 241 of August 7, 1990, the procedure coordinator is Romina Caronna, Head of the PhD Unit – Post-graduate Diplomas, State Exams and Specialisation Schools Office - Academic Programmes and Education Welfare Area – Sapienza University of Rome - Piazzale Aldo Moro, 5 – Rome – Email address - ufficio.dottorato@uniroma1.it. With reference to the provisions of EU Regulation no. 679/2016 of April 27, 2016 "GENERAL DATA PROTECTION REGULATION" concerning the protection of people and other subjects regarding the processing of personal data, there is a specific information attached as an integral part of this call for application (**see attachment B**).

ART. 16 - APPLICABLE REGULATIONS

For all the matters not expressly addressed in this call, please refer to the current regulations on the subject and to the University PhD Regulation available on

<https://www.uniroma1.it/en/documento/research-doctorate-regulations>.

THE RECTOR

Prof. Antonella Polimeni

Please note: the official version of this call is in Italian and is available on the Sapienza website. This abridged version is not valid and may not be used for any legal purpose.